

UNITED STATES COURT OF APPEALS  
FOR THE THIRD CIRCUIT

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No. 16-4337

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SUN LIFE ASSURANCE COMPANY OF CANADA

v.

WELLS FARGO BANK NA, AS SECURITIES INTERMEDIARY,  
Appellant

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No. 16-4387

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SUN LIFE ASSURANCE COMPANY OF CANADA,  
Appellant

v.

WELLS FARGO BANK NA, AS SECURITIES INTERMEDIARY

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On Appeal from the United States District Court  
for the District of New Jersey  
(District Court No. 3:14-cv-05789)  
District Judge: Honorable Peter G. Sheridan

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Submitted Pursuant to Third Circuit L.A.R. 34.1(a)  
August 19, 2019

Before: CHAGARES, RESTREPO, and FISHER, Circuit Judges.

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JUDGMENT

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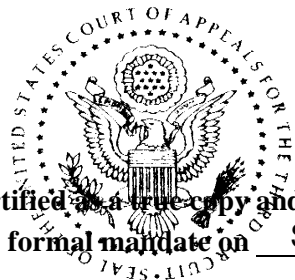
This cause came to be considered on the record from the United States District Court for the District of New Jersey and was submitted under Third Circuit L.A.R 34.1(a) on August 19, 2019.

On consideration whereof, it is now hereby ORDERED and ADJUDGED by this Court that the Order of the District Court entered on September 30, 2016, and the Judgment of the District Court entered on November 17, 2016, are hereby AFFIRMED. The parties shall bear their own costs. All of the above in accordance with the Opinion of the Court.

ATTEST:

s/ Patricia S. Dodszuweit  
Clerk

DATED: August 21, 2019

The seal of the United States Court of Appeals for the Third Circuit is circular. It features an eagle with spread wings perched on a shield. The shield is divided into sections, and the eagle is flanked by olive branches and arrows. The words "UNITED STATES COURT OF APPEALS FOR THE THIRD CIRCUIT" are inscribed around the perimeter of the seal.  
Certified as a true copy and issued in lieu  
of a formal mandate on September 12, 2019

Teste: Patricia S. Dodszuweit  
Clerk, U.S. Court of Appeals for the Third Circuit